

Receipt



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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/007,335

Filing Date November 8, 2001

First Named Inventor Yifei Yao

Group Art Unit 2875

Examiner Name Unknown

Total Number of Pages in This Submission

6

Attorney Docket Number 56977.0000

COPY OF PAPERS
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JUN 20 2002

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form (in duplicate)

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☒ Request to Correct Filing Receipt

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Copy of Official Filing Receipt
Mailed 01/08/2002 with Changes
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Aaron Winger, Reg. No. 45,229
Squire, Sanders & Dempsey, L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043

Signature

Date

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: April 15, 2002

Typed or printed name Aaron Winger

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Date

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TOTAL AMOUNT OF PAYMENT (\$)

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Complete if Known

Application Number 10/007,335

Filing Date November 8, 2001

First Named Inventor Yifei Yao

Examiner Name Unknown

Group / Art Unit 2875

Attorney Docket No. 56977.00001

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METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
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Account
Name

Squire, Sanders & Dempsey, L.L.P.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee
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FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid	
-20 **	0	X	0	
Independent Claims	-3 **	0	X	0
Multiple Dependent		X	0	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Aaron Winingar

Registration No. Attorney/Agent

45,229

Telephone

650.856.6500

Signature

Date

April 15, 2002

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PATENT
Docket No. 56977.00001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on

Date: 4/15/02

By: [Signature]
Aaron Wininger

In Re Application of	
Yifei Yao	
Serial No. 10/007,335	Examiner: Unknown
Filing Date: November 8, 2001	Group Art Unit: 2875
For: A POWER SAVING ILLUMINATING DEVICE	

Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
Washington, D.C. 20231

REQUEST TO CORRECT FILING RECEIPT

1. Attached is a copy of the Official Filing Receipt as received from the U.S. Patent And Trademark Office with regard to the above-identified patent application for which issuance of a corrected Filing Receipt is respectfully requested. The error is shown in red on the Filing Receipt as well as described below.

2. There is an error in that the following data is:

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| <input checked="" type="checkbox"/> | incorrectly entered |
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| <input type="checkbox"/> | omitted |
| <input type="checkbox"/> | Applicant's name |
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| <input type="checkbox"/> | Title |
| <input type="checkbox"/> | Filing Date |
| <input type="checkbox"/> | Serial Number |
| <input type="checkbox"/> | Foreign Application Reference |
| <input checked="" type="checkbox"/> | Attorney Docket No. |
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3. Please issue a corrected Filing Receipt rectifying the above error.

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Date: April 15, 2002

Respectfully submitted,

Squire, Sanders & Dempsey L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043
Telephone: 650-856-6500
Facsimile: 650-843-8777

By: 

Aaron Wininger
Attorney for Applicant
Reg. No. 45,229



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/007,335	11/08/2001	2875	510	04234-50020 56977.00001	1	6	1

30256
SQUIRE, SANDERS & DEMPSEY L.L.P.
600 HANSEN WAY
PALO ALTO, CA 94304-1043



CONFIRMATION NO. 3242

FILING RECEIPT



OC000000007277153

Date Mailed: 01/08/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yifei Yao, Shantou City, CHINA;

Domestic Priority data as claimed by applicant

Foreign Applications

CHINA 012246727 05/28/2001

If Required, Foreign Filing License Granted 01/07/2002

Projected Publication Date: 11/28/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Power saving illuminating device

Preliminary Class

362

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DATES ENTERED
no action

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BY *[Signature]* CALENDARED
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